
6.0 Mitigation Measures

6.0 MITIGATION MEASURES

1
2 Effective training in the Hawaii Range Complex (HRC) dictates that ship, submarine, and aircraft
3 participants utilize their sensors and exercise weapons to their optimum capabilities as required
4 by the mission. The Navy recognizes that such use has the potential to cause behavioral
5 disruption of some marine mammal species in the vicinity of an exercise (as outlined in Chapter
6 4.0). Although any disruption of natural behavioral patterns is not likely to be to a point where
7 such behavioral patterns are abandoned or significantly altered, this chapter presents the
8 Navy's mitigation measures, outlining steps that would be implemented to protect marine
9 mammals and Federally-listed species during operations. It should be noted that several of
10 these mitigation measures align with standard operating procedures for unit level antisubmarine
11 warfare (ASW) training since 2004. In addition, the Navy coordinated with the National Marine
12 Fisheries Service (NMFS) to further develop measures for protection of marine mammals during
13 the period of the National Defense Exemption, and those mitigations for mid-frequency active
14 sonar are detailed in this section. This chapter also presents a discussion of other measures
15 that have been considered and rejected because they either: (1) are not feasible; (2) present a
16 safety concern; (3) provide no known or ambiguous protective benefit; or (4) impact the
17 effectiveness of the required ASW training military readiness activity.

18 In addition, in order to make the findings necessary to issue the Marine Mammal Protection Act
19 (MMPA) authorization, it may be necessary for NMFS to require additional mitigation or
20 monitoring measures beyond those addressed in this Draft EIS/OEIS. These could include
21 measures considered, but eliminated in this Draft EIS/OEIS, or as yet undeveloped measures.
22 In addition to commenting on this Draft EIS/OEIS, the public will have an opportunity to provide
23 information to NMFS through the MMPA process, both during the comment period following
24 NMFS' Notice of Receipt of the application for a Letter of Authorization (LOA), and during the
25 comment period following publication of the proposed rule. NMFS may propose additional
26 mitigation or monitoring measures in the proposed rule. Any measures not considered in the
27 Draft EIS/OEIS, but required through the MMPA process, would require evaluation in
28 accordance with the National Environmental Policy Act. The final suite of measures developed
29 as a result of the MMPA LOA process would be identified and analyzed in the Final EIS/OEIS.

30 6.1 MID-FREQUENCY ACTIVE SONAR 31 OPERATIONS

32 6.1.1 GENERAL MARITIME MITIGATION MEASURES: 33 PERSONNEL TRAINING

- 34 1. All lookouts onboard platforms involved in ASW training events will review the NMFS-
35 approved Marine Species Awareness Training material prior to use of mid-frequency
36 active sonar.
- 37
38 2. All Commanding Officers, Executive Officers, and officers standing watch on the Bridge
39 will have reviewed the Marine Species Awareness Training material prior to a training
40 event employing the use of mid-frequency active sonar.
- 41

- 1 3. Navy lookouts will undertake extensive training in order to qualify as a watchstander in
2 accordance with the Lookout Training Handbook (Naval Educational Training
3 [NAVEDTRA], 12968-B).
4
- 5 4. Lookout training will include on-the-job instruction under the supervision of a qualified,
6 experienced watchstander. Following successful completion of this supervised training
7 period, lookouts will complete the Personal Qualification Standard program, certifying
8 that they have demonstrated the necessary skills (such as detection and reporting of
9 partially submerged objects). This does not forbid personnel being trained as lookouts
10 from being counted as those listed in previous measures so long as supervisors monitor
11 their progress and performance.
12
- 13 5. Lookouts will be trained in the most effective means to ensure quick and effective
14 communication within the command structure in order to facilitate implementation of
15 mitigation measures if marine species are spotted.
16

17 **6.1.2 GENERAL MARITIME MITIGATION MEASURES:** 18 **LOOKOUT AND WATCHSTANDER RESPONSIBILITIES**

- 19 1. On the bridge of surface ships, there will always be at least three people on watch
20 whose duties include observing the water surface around the vessel.
21
- 22 2. All surface ships participating in ASW Exercises will, in addition to the three personnel
23 on watch noted previously, have at all times during the exercise at least two additional
24 personnel on watch as lookouts.
25
- 26 3. Personnel on lookout and officers on watch on the bridge will have at least one set of
27 binoculars available for each person to aid in the detection of marine mammals.
28
- 29 4. On surface vessels equipped with mid-frequency active sonar, pedestal mounted “Big
30 Eye” (20x110) binoculars will be present and in good working order to assist in the
31 detection of marine mammals in the vicinity of the vessel.
32
- 33 5. Personnel on lookout will employ visual search procedures employing a scanning
34 methodology in accordance with the Lookout Training Handbook (NAVEDTRA 12968-B).
35
- 36 6. After sunset and prior to sunrise, lookouts will employ Night Lookouts Techniques in
37 accordance with the Lookout Training Handbook.
38
- 39 7. Personnel on lookout will be responsible for reporting all objects or anomalies sighted in
40 the water (regardless of the distance from the vessel) to the Officer of the Deck, since
41 any object or disturbance (e.g., trash, periscope, surface disturbance, discoloration) in
42 the water may be indicative of a threat to the vessel and its crew or indicative of a
43 marine species that may need to be avoided as warranted.
44

45 **6.1.3 OPERATING PROCEDURES**

- 46 1. A Letter of Instruction, Mitigation Measures Message, or Environmental Annex to the
47 Operational Order will be issued prior to the exercise to further disseminate the
48 personnel training requirement and general marine mammal mitigation measures.

- 1
2 2. Commanding Officers will make use of marine species detection cues and information to
3 limit interaction with marine species to the maximum extent possible consistent with
4 safety of the ship.
5
- 6 3. All personnel engaged in passive acoustic sonar operation (including aircraft, surface
7 ships, or submarines) will monitor for marine mammal vocalizations and report the
8 detection of any marine mammal to the appropriate watch station for dissemination and
9 appropriate action.
10
- 11 4. During mid-frequency active sonar operations, personnel will utilize all available sensor
12 and optical systems (such as night vision goggles) to aid in the detection of marine
13 mammals.
14
- 15 5. Navy aircraft participating in exercises at sea will conduct and maintain, when
16 operationally feasible and safe, surveillance for marine species of concern as long as it
17 does not violate safety constraints or interfere with the accomplishment of primary
18 operational duties.
19
- 20 6. Aircraft with deployed sonobuoys will use only the passive capability of sonobuoys when
21 marine mammals are detected within 200 yards of the sonobuoy.
22
- 23 7. Marine mammal detections will be immediately reported to assigned Aircraft Control Unit
24 for further dissemination to ships in the vicinity of the marine species as appropriate
25 where it is reasonable to conclude that the course of the ship will likely result in a closing
26 of the distance to the detected marine mammal.
27
- 28 8. Safety Zones—When marine mammals are detected by any means (aircraft, shipboard
29 lookout, or acoustically) within 1,000 yards of the sonar dome (the bow), the ship or
30 submarine will limit active transmission levels to at least 6 decibels (dB) below normal
31 operating levels.
32
 - 33 (i) Ships and submarines will continue to limit maximum transmission levels by this 6-
34 dB factor until the animal has been seen to leave the area, has not been detected
35 for 30 minutes, or the vessel has transited more than 2,000 yards beyond the
36 location of the last detection.
37
 - 38 (ii) Should a marine mammal be detected within or closing to inside 500 yards of the
39 sonar dome, active sonar transmissions will be limited to at least 10 dB below the
40 equipment's normal operating level. Ships and submarines will continue to limit
41 maximum ping levels by this 10-dB factor until the animal has been seen to leave
42 the area, has not been detected for 30 minutes, or the vessel has transited more
43 than 2,000 yards beyond the location of the last detection.
44
 - 45 (iii) Should the marine mammal be detected within or closing to inside 200 yards of the
46 sonar dome, active sonar transmissions will cease. Sonar will not resume until the
47 animal has been seen to leave the area, has not been detected for 30 minutes, or
48 the vessel has transited more than 2,000 yards beyond the location of the last
49 detection.
50

1 (iv) Special conditions applicable for dolphins and porpoises only: If, after conducting
2 an initial maneuver to avoid close quarters with dolphins or porpoises, the Officer
3 of the Deck concludes that dolphins or porpoises are deliberately closing to ride the
4 vessel's bow wave, no further mitigation actions are necessary while the dolphins
5 or porpoises continue to exhibit bow wave riding behavior.
6

7 (v) If the need for power-down should arise as detailed in "Safety Zones" above, the
8 Navy shall follow the requirements as though they were operating at 235 dB—the
9 normal operating level (i.e., the first power-down will be to 229 dB, regardless of at
10 what level above 235 sonar was being operated).
11

12 9. Prior to start up or restart of active sonar, operators will check that the Safety Zone
13 radius around the sound source is clear of marine mammals.
14

15 10. Sonar levels (generally)—Navy will operate sonar at the lowest practicable level, not to
16 exceed 235 dB, except as required to meet tactical training objectives.
17

18 11. Helicopters shall observe/survey the vicinity of an ASW Operation for 10 minutes before
19 the first deployment of active (dipping) sonar in the water.
20

21 12. Helicopters shall not dip their sonar within 200 yards of a marine mammal and shall
22 cease pinging if a marine mammal closes within 200 yards after pinging has begun.
23

24 13. Submarine sonar operators will review detection indicators of close-aboard marine
25 mammals prior to the commencement of ASW operations involving active mid-frequency
26 sonar.
27

28 14. Increased vigilance during Major ASW Training Exercises with tactical active sonar when
29 critical conditions are present.
30

31 Based on lessons learned from strandings in Bahamas 2000, Madeiras 2000, Canaries
32 2002 and Spain 2006, beaked whales are of particular concern since they have been
33 associated with mid-frequency active sonar operations. The Navy should avoid planning
34 Major ASW Training Exercises with mid-frequency active sonar in areas where they will
35 encounter conditions which, in their aggregate, may contribute to a marine mammal
36 stranding event.
37

38 The conditions to be considered during exercise planning include:
39

40 (i) Areas of at least 1,000-meter depth near a shoreline where there is a rapid change
41 in bathymetry on the order of 1,000-6,000 meters occurring across a relatively
42 short horizontal distance (e.g., 5 nautical miles [nm]).
43

44 (ii) Cases for which multiple ships or submarines (≥ 3) operating mid-frequency active
45 sonar in the same area over extended periods of time (≥ 6 hours) in close proximity
46 (≤ 10 nm apart).
47

48 (iii) An area surrounded by land masses, separated by less than 35 nm and at least 10
49 nm in length, or an embayment, wherein operations involving multiple ships/subs
50 (≥ 3) employing mid-frequency active sonar near land may produce sound directed

1 toward the channel or embayment that may cut off the lines of egress for marine
2 mammals.

- 3
4 (iv) Though not as dominant a condition as bathymetric features, the historical
5 presence of a significant surface duct (i.e., a mixed layer of constant water
6 temperature extending from the sea surface to 100 or more feet [ft]).

7
8 If the Major Exercise must occur in an area where the above conditions exist in their
9 aggregate, these conditions must be fully analyzed in environmental planning
10 documentation. The Navy will increase vigilance by undertaking the following additional
11 mitigation measure:

12
13 A dedicated aircraft (Navy asset or contracted aircraft) will undertake reconnaissance of
14 the embayment or channel ahead of the exercise participants to detect marine mammals
15 that may be in the area exposed to active sonar. Where practical, advance survey
16 should occur within about 2 hours prior to mid-frequency active sonar use, and periodic
17 surveillance should continue for the duration of the exercise. Any unusual conditions
18 (e.g., presence of sensitive species, groups of species milling out of habitat, any
19 stranded animals) shall be reported to the Office in Tactical Command, who should give
20 consideration to delaying, suspending, or altering the exercise.

21
22 All safety zone power down requirements described above apply.

23
24 The post-exercise report must include specific reference to any event conducted in
25 areas where the above conditions exist, with exact location and time/duration of the
26 event, and noting results of surveys conducted.

28 **6.1.4 COORDINATION AND REPORTING**

- 29 1. The Navy will coordinate with the local NMFS Stranding Coordinator for any unusual
30 marine mammal behavior and any stranding, beached live/dead or floating marine
31 mammals that may occur at any time during or within 24 hours after completion of mid-
32 frequency active sonar use associated with ASW training activities.
33
34 2. The Navy will submit a report to the Office of Protected Resources, NMFS, within 120
35 days of the completion of a Major Exercise. This report must contain a discussion of the
36 nature of the effects, if observed, based on both modeled results of real-time events and
37 sightings of marine mammals.
38
39 3. If a stranding occurs during an ASW Exercise, NMFS and the Navy will coordinate to
40 determine if mid-frequency active sonar should be temporarily discontinued while the
41 facts surrounding the stranding are collected.
42

43 **6.1.5 ALTERNATIVE MITIGATION MEASURES** 44 **CONSIDERED BUT ELIMINATED**

45 In addition to the mitigation measures identified above, the Navy also analyzed and eliminated
46 from primary consideration several other mitigation measures. This analysis was based on
47 application of factors that are used by NMFS for evaluating least practicable adverse impact for
48 military readiness activities. As a component of their determination of least practicable adverse

1 impact, NMFS must consult with the Department of Defense on the following factors when
2 issuing an MMPA authorization: personnel safety, practicality of implementation, and impact on
3 the effectiveness of the military readiness activity. Alternative mitigation measures considered
4 by Navy included:

- 5 • Using non-Navy personnel onboard Navy vessels to provide surveillance of ASW or
6 other exercise events.
 - 7 – Security clearance issues would have to be overcome to allow non-Navy
8 observers onboard exercise participants.
 - 9 – Use of non-Navy observers is not necessary given that Navy lookouts are
10 extensively trained in spotting items at or near the water surface. Navy lookouts
11 receive more hours of training, and utilize their skills more frequently, than many
12 third party trained personnel.
 - 13 – Use of Navy lookouts is the most effective means to ensure quick and effective
14 communication within the command structure and facilitate implementation of
15 mitigation measures if marine species are spotted. A critical skill set of effective
16 Navy training is communication. Navy lookouts are trained to act swiftly and
17 decisively to ensure that information is passed to the appropriate supervisory
18 personnel.
 - 19 – Navy and NMFS have not developed the necessary lengthy and detailed
20 procedures that would be required to facilitate the integration of information from
21 non-Navy observers into the command structure.
 - 22 – Some training events will span one or more 24-hour period with operations
23 underway continuously in that timeframe. It is not feasible to maintain non-Navy
24 surveillance of these operations given the number of non-Navy observers that
25 would be required onboard.
 - 26 – Surface ships having active mid-frequency sonar have limited berthing capacity.
27 Exercise planning includes careful consideration of this limited capacity in the
28 placement of exercise controllers, data collection personnel, and Afloat Training
29 Group personnel on ships involved in the exercise. Inclusion of non-Navy
30 observers onboard these ships would require that in some cases, there would be
31 no additional berthing space for essential Navy personnel required to fully
32 evaluate and efficiently use the training opportunity to accomplish the exercise
33 objectives.
- 34 • Visual monitoring or surveillance using non-Navy observers from non-military aircraft
35 or vessels to survey before, during, and after exercise events.
 - 36 – Use of non-Navy observers in the air or on civilian vessels compromises security
37 due to the requirement to provide advance notification of specific times/locations
38 of Navy platforms (this information is Classified).
 - 39 – The areas where training events will mainly occur (the representative areas
40 modeled) cover approximately 170,000 square nautical miles. Contiguous ASW
41 events may cover many hundreds of square miles. The number of civilian ships
42 and/or aircraft required to monitor the area of these events would be
43 considerable. It is, thus, not feasible to survey or monitor the large exercise
44 areas in the time required to ensure these areas are devoid of marine mammals.
45 In addition, marine mammals may move into or out of an area, if surveyed before
46 an event, or an animal could move into an area after an exercise took place.

- 1 Given that there are no adequate controls to account for these or other
2 possibilities and there are no identified research objectives, there is no utility to
3 performing either a before or an after-the-event survey of an exercise area.
- 4 – Survey during an event raises safety issues with multiple, slow civilian aircraft
5 operating in the same airspace as military aircraft engaged in combat training
6 activities. In addition, most of the training events take place far from land, limiting
7 both the time available for civilian aircraft to be in the exercise area and
8 presenting a concern should aircraft mechanical problems arise.
- 9 – Scheduling civilian vessels or aircraft to coincide with training events would
10 impact training effectiveness since exercise event timetables cannot be precisely
11 fixed and are instead based on the free-flow development of tactical situations.
12 Waiting for civilian aircraft or vessels to complete surveys, refuel, or be on station
13 would slow the unceasing progress of the exercise and impact the effectiveness
14 of the military readiness activity.
- 15 – The vast majority of HRC training events involve a Navy aerial asset with crews
16 specifically training to hone their detection of objects in the water. The capability
17 of sighting from both surface and aerial platforms provides excellent survey
18 capabilities using the Navy's existing exercise assets.
- 19 – Multiple events may occur simultaneously in areas at opposite ends of the HRC
20 and then continue for up to 96 hours. There are not enough qualified third-party
21 personnel to accomplish the monitoring task.
- 22 – There is no identified research design, sampling procedures, or purpose for any
23 survey or monitoring effort.
- 24 • Seasonal, problematic complex/steep bathymetry, or habitat avoidance.
- 25 – The habitat requirements for most of the marine mammals in the Hawaiian
26 Islands are unknown. Accordingly, there is no information available on possible
27 alternative exercise locations or environmental factors that would otherwise be
28 less important to marine mammals in the Hawaiian Islands. In addition, exercise
29 locations were very carefully chosen by exercise planners based on training
30 requirements and the ability of ships and submarines to operate safely. Moving
31 the exercise events to alternative locations would impact the effectiveness of the
32 training and has no known utility.
- 33 • Using active sonar with output levels as low as possible consistent with mission
34 requirements and use of active sonar only when necessary.
- 35 – Operators of sonar equipment are always cognizant of the environmental
36 variables affecting sound propagation. In this regard the sonar equipment power
37 levels are always set consistent with mission requirements.
- 38 – Active sonar is only used when required by the mission since it has the potential
39 to alert opposing forces to the sonar platform's presence. Passive sonar and all
40 other sensors are used in concert with active sonar to the maximum extent
41 practical when available and when required by the mission.
- 42 • Suspending the exercise at night, periods of low visibility, and in high sea-states
43 when marine mammals are not readily visible.
- 44 – It is imperative that the Navy be able to operate at night, in periods of low
45 visibility, and in high sea-states. The Navy must train as we are expected to

- 1 fight, and adopting this prohibition would eliminate this critical military readiness
2 requirement.
- 3 • Scaling down the exercise to meet core aims.
 - 4 – Training exercises are always constrained by the availability of funding,
5 resources, personnel, and equipment with the result being they are always
6 scaled down to meet only the core requirements.
 - 7 • Limiting the active sonar event locations.
 - 8 – Areas where events are scheduled to occur are carefully chosen to provide for
9 the safety of operations and to allow for the realistic tactical development of the
10 exercise scenario. Otherwise limiting the exercise to a few areas would
11 adversely impact the effectiveness of the training.
 - 12 – Limiting the exercise areas would concentrate all sonar use, resulting in
13 unnecessarily prolonged and intensive sound levels vice the more transient
14 exposures predicted by the current planning that makes use of multiple exercise
15 areas.
 - 16 • Passive Acoustic Monitoring.
 - 17 – As noted in the preceding section, passive detection capabilities are used to the
18 maximum extent practicable consistent with the mission requirements to alert
19 exercise participants to the presence of marine mammals in an event location.
 - 20 • Using ramp-up to attempt to clear an area prior to the conduct of exercises.
 - 21 – Ramp-up procedures involving slowly increasing the sound in the water to
22 necessary levels, have been utilized in other non-Department of Defense
23 activities. Ramp-up procedures are not a viable alternative for training exercises,
24 as the ramp-up would alert opponents to the participants' presence and not allow
25 the Navy to train, thus adversely impacting the effectiveness of the military
26 readiness activity.
 - 27 – Ramp-up for sonar as a mitigation measure is also an unproven technique. The
28 implicit assumption is that animals would have an avoidance response to the low
29 power sonar and would move away from the sound and exercise area; however,
30 there is no data to indicate this assumption is correct. Given there is no data to
31 indicate that this is even minimally effective and because ramp-up would have an
32 impact on the effectiveness of the military readiness activity, it was eliminated
33 from further consideration.
 - 34 • Reporting marine mammal sightings to augment scientific data collection.
 - 35 – Ships, submarines, aircraft, and personnel engaged in training events are
36 intensively employed throughout the duration of the exercise. Their primary duty
37 is accomplishment of the exercise goals, and they should not be burdened with
38 additional duties, unrelated to that task. Any additional workload assigned that is
39 unrelated to their primary duty would adversely impact the effectiveness of the
40 military readiness activity they are undertaking.
 - 41 • Use of new technology (e.g., unmanned reconnaissance aircraft, underwater gliders,
42 instrumented ranges) to enhance monitoring of marine animals.
 - 43 – Although the Navy does work with many new technologies, they are very
44 expensive and limited in availability. The Navy is currently investigating the use

1 of the instrumented range at the Pacific Missile Range Facility (PMRF) to collect
2 information on marine mammals.

- 3 • Use of larger and more protective shut down zones

4 The current power down and shut down zones are based on modeling of the
5 source output level, propagation and transmission characteristics of sonar.
6

7 **6.1.6 CONSERVATION MEASURES**

8 The Navy will continue to fund ongoing marine mammal research in the field of acoustic
9 responses and population dynamics, abundance, and density. Results of conservation efforts
10 by the Navy in other locations will also be used to support efforts in the HRC. The Navy is
11 coordinating long-term monitoring/studies of marine mammals on various established ranges
12 and operating areas.

13 The Navy is implementing a long-term monitoring program of marine mammal populations
14 including abundance and distribution in the HRC, including evaluation of trends and response to
15 anthropogenic sound sources. The Navy will continue its internal Navy marine mammal
16 research and the Navy's contribution to university/external research to improve the state of the
17 science regarding marine species biology and acoustic effects. In addition, the Navy will
18 continue to share data with NMFS and inform NMFS on current research and development
19 efforts.

20 The Navy has contracted with a consortium of researchers from Duke University, University of
21 North Carolina at Wilmington, University of St. Andrews, and the NMFS Northeast Fisheries
22 Science Center to conduct a pilot study analysis and develop a proposed survey and monitoring
23 plan that lays out the recommended approach for surveys (aerial/shipboard, frequency, spatial
24 extent, etc.) and data analysis (standard line-transect, spatial modeling, etc.) necessary to
25 establish a baseline of protected species distribution and abundance and monitor for changes
26 that might be attributed to ASW operations on the Atlantic Fleet Undersea Warfare Training
27 Range, Southern California, and Hawaiian range areas.

28 **6.2 UNDERWATER DETONATIONS**

29 To ensure protection of marine mammals and sea turtles during underwater detonation training
30 and Mining Operations, the operating area must be determined to be clear of marine mammals
31 and sea turtles prior to detonation. Implementation of the following mitigation measures
32 continue to ensure that marine mammals would not be exposed to temporary threshold shift
33 (TTS), PTS, or injury from physical contact with training mine shapes during Major Exercises.

34 **6.2.1 DEMOLITION AND SHIP MINE COUNTERMEASURES** 35 **OPERATIONS (UP TO 20 POUNDS)**

36 **6.2.1.1 EXCLUSION ZONES**

37 All Mine Warfare and Mine Countermeasures Operations involving the use of explosive charges
38 must include exclusion zones for marine mammals and sea turtles to prevent physical and/or

1 acoustic effects to those species. These exclusion zones shall extend in a 700-yard arc radius
2 around the detonation site.

3 **6.2.1.2 PRE-EXERCISE SURVEYS**

4 For Demolition and Ship Mine Countermeasures Operations, pre-exercise survey shall be
5 conducted within 30 minutes prior to the commencement of the scheduled explosive event. The
6 survey may be conducted from the surface, by divers, and/or from the air, and personnel shall
7 be alert to the presence of any marine mammal or sea turtle. Should such an animal be present
8 within the survey area, the exercise shall be paused until the animal voluntarily leaves the area.

9 **6.2.1.3 POST-EXERCISE SURVEYS**

10 Surveys within the same radius shall also be conducted within 30 minutes after the completion
11 of the explosive event.

12 **6.2.1.4 REPORTING**

13 Any evidence of a marine mammal or sea turtle that may have been injured or killed by the
14 action shall be reported immediately to Commander, Pacific Fleet and Commander, Navy
15 Region Southwest, Environmental Director.

16 **6.2.1.5 MINING OPERATIONS**

17 Mining Operations involve aerial drops of inert training shapes on floating targets. Aircrews are
18 scored for their ability to accurately hit the target. Although this operation does not involve live
19 ordnance, marine mammals have the potential to be injured if they are in the immediate vicinity
20 of a floating target; therefore, the safety zone shall be clear of marine mammals and sea turtles
21 around the target location. Pre- and post-surveys and reporting requirements outlined for
22 underwater detonations shall be implemented during Mining Operations. To the maximum
23 extent feasible, the Navy shall retrieve inert mine shapes dropped during Mining Operations.

24 **6.2.2 SINK EXERCISE, GUNNERY EXERCISE, MISSILE 25 EXERCISE AND BOMBING EXERCISE**

26 The selection of sites suitable for Sink Exercises (SINKEXs) involves a balance of operational
27 suitability, requirements established under the Marine Protection, Research and Sanctuaries Act
28 (MPRSA) permit granted to the Navy (40 Code of Federal Regulations 229.2), and the
29 identification of areas with a low likelihood of encountering Endangered Species Act (ESA)
30 listed species. To meet operational suitability criteria, locations must be within a reasonable
31 distance of the target vessels' originating location. The locations should also be close to active
32 military bases to allow participating assets access to shore facilities. For safety purposes, these
33 locations should also be in areas that are not generally used by non-military air or watercraft.
34 The MPRSA permit requires vessels to be sunk in waters which are at least 1,000 fathoms
35 (3,000 meters) deep and at least 50 nm from land.

36 In general, most listed species prefer areas with strong bathymetric gradients and
37 oceanographic fronts for significant biological activity such as feeding and reproduction. Typical
38 locations include the continental shelf and shelf-edge.

1 Although the siting of the location for the exercise is not regulated by a permit, the range
 2 clearance procedures used for Gunnery Exercise (GUNEX), Missile Exercise (MISSILEX), and
 3 Bombing Exercise (BOMBEX) are the same as those described below for a SINKEX.

4 **6.2.2.1 MITIGATION PLAN**

5 The Navy has developed range clearance procedures to maximize the probability of sighting
 6 any ships or protected species in the vicinity of an exercise, which are as follows:

- 7 1. All weapons firing would be conducted during the period 1 hour after official sunrise
 8 to 30 minutes before official sunset.
- 9 2. Extensive range clearance operations would be conducted in the hours prior to
 10 commencement of the exercise, ensuring that no shipping is located within the
 11 hazard range of the longest-range weapon being fired for that event.
- 12 3. Prior to conducting the exercise, remotely sensed sea surface temperature maps
 13 would be reviewed. SINKEX and ASM Operations would not be conducted within
 14 areas where strong temperature discontinuities are present, thereby indicating the
 15 existence of oceanographic fronts. These areas would be avoided because
 16 concentrations of some listed species, or their prey, are known to be associated with
 17 these oceanographic features.
- 18 4. An exclusion zone with a radius of 1.0 nm would be established around each target.
 19 This exclusion zone is based on calculations using a 990-pound (lb) H6 net explosive
 20 weight high explosive source detonated 5 feet (ft) below the surface of the water,
 21 which yields a distance of 0.85 nm (cold season) and 0.89 nm (warm season)
 22 beyond which the received level is below the 182 decibels (dB) re: 1 micropascal
 23 squared-seconds ($\mu\text{Pa}^2\text{-s}$) threshold established for the *WINSTON S. CHURCHILL*
 24 (DDG 81) shock trials (U.S. Navy, 2001). An additional buffer of 0.5 nm would be
 25 added to account for errors, target drift, and animal movements. Additionally, a
 26 safety zone, which extends from the exclusion zone at 1.0 nm out an additional 0.5
 27 nm, would be surveyed. Together, the zones extend out 2 nm from the target.
- 28 5. A series of surveillance over-flights would be conducted within the exclusion and the
 29 safety zones, prior to and during the exercise, when feasible. Survey protocol would
 30 be as follows:
 - 31 a. Overflights within the exclusion zone would be conducted in a manner that
 32 optimizes the surface area of the water observed. This may be accomplished
 33 through the use of the Navy's Search and Rescue Tactical Aid, which provides
 34 the best search altitude, ground speed, and track spacing for the discovery of
 35 small, possibly dark objects in the water based on the environmental conditions
 36 of the day. These environmental conditions include the angle of sun inclination,
 37 amount of daylight, cloud cover, visibility, and sea state.
 - 38 b. All visual surveillance activities would be conducted by Navy personnel trained in
 39 visual surveillance. At least one member of the mitigation team would have
 40 completed the Navy's marine mammal training program for lookouts.
 - 41 c. In addition to the overflights, the exclusion zone would be monitored by passive
 42 acoustic means, when assets are available. This passive acoustic monitoring
 43 would be maintained throughout the exercise. Potential assets include
 44 sonobuoys, which can be utilized to detect any vocalizing marine mammals

- 1 (particularly sperm whales) in the vicinity of the exercise. The sonobuoys would
2 be re-seeded as necessary throughout the exercise. Additionally, passive sonar
3 onboard submarines may be utilized to detect any vocalizing marine mammals in
4 the area. The Officer Conducting the Exercise (OCE) would be informed of any
5 aural detection of marine mammals and would include this information in the
6 determination of when it is safe to commence the exercise.
- 7 d. On each day of the exercise, aerial surveillance of the exclusion and safety
8 zones would commence 2 hours prior to the first firing.
- 9 e. The results of all visual, aerial, and acoustic searches would be reported
10 immediately to the OCE. No weapons launches or firing would commence until
11 the OCE declares the safety and exclusion zones free of marine mammals and
12 threatened and endangered species.
- 13 f. If a protected species observed within the exclusion zone is diving, firing would
14 be delayed until the animal is re-sighted outside the exclusion zone, or 30
15 minutes have elapsed. After 30 minutes, if the animal has not been re-sighted it
16 would be assumed to have left the exclusion zone. This is based on a typical
17 dive time of 30 minutes for traveling listed species of concern. The OCE would
18 determine if the listed species is in danger of being adversely affected by
19 commencement of the exercise.
- 20 g. During breaks in the exercise of 30 minutes or more, the exclusion zone would
21 again be surveyed for any protected species. If protected species are sighted
22 within the exclusion zone, the OCE would be notified, and the procedure
23 described above would be followed.
- 24 h. Upon sinking of the vessel, a final surveillance of the exclusion zone would be
25 monitored for 2 hours, or until sunset, to verify that no listed species were
26 harmed.
- 27 6. Aerial surveillance would be conducted using helicopters or other aircraft based on
28 necessity and availability. The Navy has several types of aircraft capable of
29 performing this task; however, not all types are available for every exercise. For
30 each exercise, the available asset best suited for identifying objects on and near the
31 surface of the ocean would be used. These aircraft would be capable of flying at the
32 slow safe speeds necessary to enable viewing of marine vertebrates with
33 unobstructed, or minimally obstructed, downward and outward visibility. The
34 exclusion and safety zone surveys may be cancelled in the event that a mechanical
35 problem, emergency search and rescue, or other similar and unexpected event
36 preempts the use of one of the aircraft onsite for the exercise.
- 37 7. Every attempt would be made to conduct the exercise in sea states that are ideal for
38 marine mammal sighting, Beaufort Sea State 3 or less. In the event of a 4 or above,
39 survey efforts would be increased within the zones. This would be accomplished
40 through the use of an additional aircraft, if available, and conducting tight search
41 patterns.
- 42 8. The exercise would not be conducted unless the exclusion zone could be adequately
43 monitored visually.
- 44 9. In the unlikely event that any listed species are observed to be harmed in the area, a
45 detailed description of the animal would be taken, the location noted, and if possible,
46 photos taken. This information would be provided to National Oceanic and

1 Atmospheric Administration (NOAA) Fisheries via the Navy's regional environmental
2 coordinator for purposes of identification.

3 10. An after action report detailing the exercise's time line, the time the surveys
4 commenced and terminated, amount, and types of all ordnance expended, and the
5 results of survey efforts for each event would be submitted to NOAA Fisheries.

6 6.3 CONDITIONS ASSOCIATED WITH THE 7 BIOLOGICAL OPINION

8 The Navy will comply with the reasonable and prudent measures and terms and conditions
9 issued by NMFS in their Biological Opinion for HRC training operations. In particular, the terms
10 and conditions specify a monitoring program and process for feedback to NMFS following the
11 completion of each exercise event.

12 6.4 COMPARISON OF ENDANGERED SPECIES 13 RECOVERY PLANS

14 Recovery plans are developed by the U.S. Fish and Wildlife Service and NMFS to help guide
15 actions that promote the recovery of threatened and endangered species to the point that they
16 may be down-listed and eventually de-listed. Where de-listing may not be reasonably possible
17 given population size or habitat constraints, stopping the decline of the species and establishing
18 a stable population may be interim goals. Recovery plans in general discuss the current status
19 of the species or population, threats to their continued existence, and actions to promote
20 recovery. In many instances one of the primary recovery needs is information on population
21 size and distribution and other basic information such as sex ratios, birth rate/fecundity,
22 recruitment, mortality, hearing sensitivity, and sound production.

23 Twenty-seven recovery plans for endangered or threatened species have been completed,
24 drafted or are undergoing revision by NMFS. Of these, 10 recovery plans cover species
25 evaluated in this Draft Environmental Impact Statement (EIS) / Overseas EIS (OEIS): blue
26 whales (*Balaenoptera musculus*), fin whales (*B. physalus*), humpback whales (*Megaptera*
27 *novaeangliae*), sperm whales (*Physeter macrocephalus*), Hawaiian monk seals (*Monachus*
28 *schauinslandi*), green turtles (*Chelonia mydas*), hawksbill turtles (*Eretmochelys imbricata*),
29 loggerhead turtles (*Caretta caretta*), olive ridley turtles (*Lepidochelys olivacea*), and leatherback
30 turtles (*Dermochelys coriacea*). Many of these plans are out of date and are in need of revision

31 With respect to this Draft EIS/OEIS, a review of the applicable recovery plans found that many
32 plans identified in-water effects such as anthropogenic sound or underwater detonations and
33 ship strikes as possible threats to recovery. In some cases all anthropogenic sources were
34 lumped together and in others military and civilian sources were broken out separately.

35 Based on modeling results in this Draft EIS/OEIS, fin whales, sei whales, humpback whales,
36 sperm whales and Hawaiian monk seals may be exposed to acoustic energy that could result in
37 TTS or behavioral modification. Due to the lack of density data for blue whales and North

1 Pacific right whales (*Eubalaena japonicus*)* they were not included in the acoustic effects
2 exposure model. There are few sightings for these two species in the Hawaiian Islands area
3 and they are not expected to be exposed to mid-frequency active sonar.

4 For the five species of sea turtles potentially occurring within the HRC, available information
5 suggests that sea turtles are likely not able to hear mid-frequency sounds (2.6 kilohertz [kHz]
6 and 3.3 kHz) in the range produced by active tactical sonars.

7 The following sections outline the applicable threats identified in each plan and the mitigation
8 measures adopted by the Navy for the actions covered by this Draft EIS/OEIS.

9 **6.4.1 RECOVERY PLAN FOR THE BLUE WHALE** 10 **(*Balaenoptera musculus*)—(1998)**

11 Anthropogenic noise was discussed under Habitat Degradation (p.16) and focused on the low-
12 frequency sound transmitted during the Acoustic Thermometry of Ocean Climate (ATOC)
13 experiment conducted in the mid-1990s. Whales observed during the trials were found to be
14 distributed nominally further from the source when it was active than when it was not. No other
15 changes in behavior or distribution were observed. ATOC and the North Pacific Acoustic
16 Laboratory are not being considered in this Draft EIS/OEIS.

17 Under Military Operations Surveillance Towed Array Sensor System (SURTASS) Low
18 Frequency Active (LFA) and ship shock trials were used to illustrate potential effects. However,
19 neither observed nor potential effects were discussed. Detection of two blue whales in the
20 vicinity of the ship shock trial resulted in the relocation of the trial to an area 9 miles from the
21 whales. Scientific research intended to determine whether exposure to low frequency sounds
22 elicited disturbance reactions from feeding blue or fin whales was conducted in 1997. In 19
23 focal animal observations (4 blue whales and 15 fin whales), no overt behavioral responses
24 were observed. No changes in whale distribution could be related to LFA operations; whale
25 distributions closely tracked the distribution of food. One preliminary analysis of whale sounds
26 detections indicated a slight decrease in whale calling activity during LFA operations, but this
27 was not confirmed by a second analysis. SURTASS LFA is not being considered in this Draft
28 EIS/OEIS.

29 Military vessel traffic was cited as contributory to the overall issue of vessel traffic and ship
30 strikes.

31 Mitigation Measures—Except for potential ship strikes none of the threats listed above for blue
32 whales is applicable to training activities within the HRC. Potential ship strikes would be
33 mitigated by the use of trained observers aboard ASW platforms, vessels associated with
34 SINKEX, and vessels used for mine countermeasures and demolition training and observers
35 aboard aircraft when available. Based on available sighting data and the mitigation measures
36 outlined in this chapter, it is unlikely that blue whales would be subject to vessel strikes within
37 the HRC, thus fulfilling Recovery Action 4.2, Identify and implement methods to reduce ship
38 collisions with blue whales.

* There is no current or draft recovery plan for North Pacific right whales.

6.4.2 DRAFT RECOVERY PLAN FOR THE FIN WHALE (*Balaenoptera physalus*)—(2006)

Ship Strikes (p. I-25) was a source of mortality for fin whales off the U.S. west coast from 1990 through 2005.

Although recent military activities (G.9 Military Operations, p. I-28) in the North Pacific are not known to have had impacts on fin whales, there was concern that due to "...the large scale and diverse nature of military activities in this ocean basin ...there is always potential for disturbing, injuring, or killing these and other whales."

As noted above for blue whales, the issue of SURTASS LFA was also raised for fin whales.

Mitigation Measures—The effect of SURTASS LFA on fin whales is not applicable to training activities within the HRC. Potential ship strikes would be mitigated by the use of trained observers aboard ASW platforms, vessels associated with SINKEX, and vessels used for mine countermeasures and demolition training and observers aboard aircraft when available. Based on available sighting data and the mitigation measures outlined Section 6.1, it is unlikely that fin whales would be subject to vessel strikes within the HRC, thus addressing Recovery Action 6.3 - Identify and implement measures to reduce the frequency and severity of ship collisions and gear interactions with fin whales. The use of tactical active sonars within the HRC would be governed by the mitigation measures outlined in Section 6.1, which include the requirement for trained observers, aircraft surveillance when available, the use of passive listening devices, safety zones, sonar power limit requirements, and consideration of bathymetry and oceanographic conditions. These mitigation measures address Recovery Action 7.2, Implement appropriate measures to reduce the exposure of fin whales to human-generated noise judged to be potentially detrimental.

6.4.3 FINAL RECOVERY PLAN FOR THE HUMPBACK WHALE (*Megaptera novaeangliae*)—(1991)

Although not explicitly identified in Section C - Collisions with Ships (p. 26), Navy ships should be included as part of the overall level of vessel traffic in Hawaiian waters which is identified as a potential impact.

In Section D. Acoustic Disturbance, 1. Noise from ships, boats and aircraft, Noise in general was identified as a potential adverse impact to humpback whales. At the time it was speculated that different vessel types and sizes had different acoustic effects depending on their signatures. In addition noise from military airplanes and other exercises were identified as possible sources of disturbance. The following statements from the Plan have been overcome by events but are provided for historical context. "In Hawaii, aerial exercises are executed from Hickam Air Force Base, Kaneohe Marine Corps Air Station, and Barbers Point Naval Air Station on Oahu. The major impact of tactical military aircraft is their use of Kahoolawe Island as a target. Concerns about the effect of military activities on humpback whales were addressed in a consultation between the U.S. Navy and NMFS regarding the use of Kahoolawe as a target island in 1979." Kahoolawe has not been used as a target island since 1990. "Herman et al. (1980) suggested that humpback whales arriving in Hawaiian waters may be disturbed by military aircraft flying low over portions of the Auau Channel between the Islands of Hawaii and

1 Maui. Other ordnance ranges in humpback wintering areas are Kaula Island, Hawaii; Vieques,
2 Puerto Rico; and Farallon de Medinilla, Commonwealth of the Northern Mariana Islands.” While
3 there may have been some impact from the cumulative noise sources of vessels and aircraft the
4 effect seems to have been minimal given the current recovery of the Hawaiian population of
5 humpback whales and their growth in numbers over the past 30 years.

6 Mitigation Measures—Ship strike was identified as a potential threat, but ship strike mitigation
7 was not explicitly noted in the Plan. For activities covered by this Draft EIS/OEIS, potential ship
8 strikes would be mitigated by the use of trained observers aboard ASW platforms, vessels
9 associated with SINKEX, and vessels used for mine countermeasures and demolition training
10 and observers aboard aircraft when available. With respect to underwater noise (Recovery
11 Objective 1.31 Reduce disturbance from human-produced underwater noise in Hawaiian
12 waters and in other important habitats when humpback whales are present), the use of tactical
13 active sonars within the HRC would be governed by the mitigation measures outlined in Section
14 6.1. These include the requirement for trained observers, aircraft surveillance when available,
15 the use of passive listening devices, safety zones, sonar power limit requirements, and
16 consideration of bathymetry and oceanographic conditions. In addition, activities involving
17 explosives or live fire will require trained observers aboard weapons platforms, vessels
18 associated with SINKEX, and vessels used for mine countermeasures and demolition training
19 and observers aboard aircraft when available. Consideration of bottom topography,
20 oceanographic conditions, and species habitat preferences will also be considered.

21 **6.4.4 DRAFT RECOVERY PLAN FOR THE SPERM WHALE** 22 **(*Physeter macrocephalus*)—(2006)**

23 Potential threats identified in Sections G.2. and G.8. discussed anthropogenic sounds and in
24 particular pingers, sonars, and vessel noise (cavitation).

25 Section G.2. Anthropogenic Noise (p. I-26) “...Sperm whales are known to respond, often
26 dramatically, to unfamiliar noise. Whales exposed to the sounds of pingers used in calibration
27 systems to locate hydrophone arrays temporarily fell silent (Watkins and Schevill 1975). This
28 response to sounds in the frequency range of 6-13 kHz was interpreted as one of listening,
29 rather than of fear. A stronger response was observed in sperm whales exposed to the intense
30 sonar signaling and ship propeller noise from military operations in the Caribbean Sea during
31 the U.S. invasion of Grenada in 1983. The whales fell silent, changed their activities, scattered,
32 and moved away from the sound sources (Watkins et al. 1985). They also showed longer-term
33 responses by becoming quieter and seemingly more wary of a research vessel that had visited
34 the same area in previous years (Watkins et al. 1985).

35 There is currently no evidence of long-term changes in behavior or distribution as a result of
36 occasional exposure to pulsed acoustic stimuli.”

37 **6.4.4.1 G.8 MILITARY OPERATIONS (P.I-32)**

38 “...Sperm whales are potentially affected by military operations in a number of ways. They can
39 be struck by vessels and disturbed by sonar and other anthropogenic noise. In addition, their
40 deep diving and large size make sperm whales potential false targets in submarine warfare (or
41 target practice). Evidence suggests that strandings of another deep-diving, pelagic toothed
42 whale, Cuvier’s beaked whale (*Ziphius cavirostris*) is related to tests of Navy mid-range sonar

1 and possibly LFA sonar in Greece, the Bahamas, and the Canary Islands (Frantzis, 1998;
2 Anon., 2001; Jepson et al., 2003; NOAA and U.S. Department of the Navy, 2001; Freitas, 2004;
3 Fernandez, 2004; Fernandez et al., 2005). The extremely loud signals (maximum output 230
4 decibels re 1 micropascal [μPa]) are in the frequency range of 250-3,000 hertz (Frantzis, 1998),
5 which is well within the likely range of sperm whale hearing. Similarly, mid-frequency sonar
6 (e.g., U.S. Navy 53C) can produce equally loud sounds at frequencies of 2,000-8,000 hertz
7 (Evans and England 2001), which are also likely to be heard by sperm whales. Clicks produced
8 by sperm whales (and presumably heard by them) are in the range of < 100 hertz to as high as
9 30 kHz, often with most of the energy in the 2 to 4 kHz range (Watkins 1980). There have been
10 no sperm whale strandings attributed to Navy sonar. However, the large scale and diverse
11 nature of military activities in large ocean basins indicates that there is always potential for
12 disturbing, injuring, or killing these and other whales.”

13 The applicable recovery action is found under Recovery Actions 7.0. Determine and Minimize
14 Any Detrimental Effects of Anthropogenic Noise in the Oceans (p. IV-2).

15 7.1 Support ongoing and additional studies to evaluate the effects of sound on sperm whales.

16 7.2 Implement appropriate regulations on sound-production activities which are found to be
17 potentially detrimental to sperm whales, until otherwise demonstrated.

18 Mitigation Measures—would be implemented as listed in Section 6.1 to mitigate the use of
19 tactical active sonars within the HRC. These include the requirement for trained observers,
20 aircraft surveillance when available, the use of passive listening devices, safety zones, sonar
21 power limit requirements, and consideration of bathymetry and oceanographic conditions. In
22 addition, activities involving explosives or live fire will require trained observers aboard weapons
23 platforms, vessels associated with SINKEX, and vessels used for mine countermeasures and
24 demolition training and observers aboard aircraft when available. For SINKEX and Air-to-
25 Surface Missile Exercises (A-S MISSILEX), an exclusion zone of 1.0 nm and an additional
26 safety zone of 0.5 nm would be required. Consideration of bottom topography, oceanographic
27 conditions, and species habitat preferences will also be considered.

28 These mitigation measures will further the recovery goals of this Plan even though no specific
29 actions were identified in the Plan.

30 The Navy has and will continue to support as appropriate research that will help evaluate the
31 effects of sound on sperm whales. While not under its purview, the Navy has complied with
32 applicable laws and regulations regarding sound in the oceans to the extent practicable and in
33 compliance with national defense requirements.

34

6.4.5 RECOVERY PLAN FOR THE HAWAIIAN MONK SEAL (*Monachus schauinslandi*)—(DRAFT REVISION 2006)

No specific threats to monk seals from activities associated with the HRC were identified in the Plan.

Mitigation Measures—would be implemented as listed in Section 6.1 to mitigate the use of tactical active sonars within the HRC. These include the requirement for trained observers, aircraft surveillance when available, the use of passive listening devices, safety zones, sonar power limit requirements, and consideration of bathymetry and oceanographic conditions. In addition, activities involving explosives or live fire will require trained observers aboard weapons platforms, vessels associated with SINKEX, and vessels used for mine countermeasures and demolition training and observers aboard aircraft when available. For SINKEX and A-S MISSILEX an exclusion zone of 1.0 nm and an additional safety zone of 0.5 nm would be required. Consideration of bottom topography, oceanographic conditions, and species habitat preferences will also be considered.

These mitigation measures will further the recovery goals of this Plan even though no specific actions were identified in the Plan.

6.4.6 RECOVERY PLAN FOR THE U.S. PACIFIC POPULATIONS OF THE GREEN TURTLE (*Chelonia mydas*)—(1998)

Construction Blasting (p. 45) was identified as a threat to sea turtles, but not as a current threat in Hawaii. The following narrative did not explicitly identify Navy activities associated with the HRC as having a potential effect.

“Blasting can injure or kill sea turtles in the immediate area. The use of dynamite to construct or maintain harbors, break up reef and rock formations for improved offshore access, etc. can decimate coral reefs, eliminating food and refuge for sea turtles. Some types of dynamiting have minimal impact to marine life, such as placing explosive in pre-drilled holes (drilling and shooting) prior to detonation. This is the standard practice to secure armor rock. (see Recovery – Section 2.2.7)”

In Section 2.2.7 under Recovery, the following actions were identified:

“Prevent the degradation or destruction of reefs by dynamite fishing and construction blasting. Blasting of any nature physically damages reefs and may kill turtles. It must be monitored and/or restricted.”

Mitigation Measures—Mitigation measures for sea turtles from underwater demolitions are listed in Section 6.2, Underwater Detonations. In general during underwater explosives training and mining operations, the operating area must be determined to be clear of marine mammals and sea turtles prior to detonation. For demolition and ship mine countermeasures operations charge size is limited to 20 lb and exclusion zones are established to prevent physical and/or acoustic effects. Pre exercise surveys are conducted by surface vessels, divers, and aircraft

1 (when available) to alert operators of any protected species within the exclusion zone. If a sea
2 turtle or marine mammal is observed, the exercise is postponed until the animal voluntarily
3 leaves the area. Bottom topography is selected to minimize any potential damage to reef
4 structures or other hard substrate that include turtle resting habitat or foraging areas (e.g.
5 patches of sandy bottom substrate away from coral reef structures).

6 In addition, activities involving explosives or live fire will require trained observers aboard
7 weapons platforms, vessels associated with SINKEX, and vessels used for mine
8 countermeasures and demolition training and observers aboard aircraft when available. For
9 SINKEX and A-S MISSILEX, an exclusion zone of 1.0 nm and an additional safety zone of 0.5
10 nm would be required.

11 In the event that green turtles are observed within the operating area the use of tactical active
12 sonars within the HRC would be governed by the mitigation measures outlined in Section 6.1,
13 which include the requirement for trained observers, aircraft surveillance when available, the
14 use of passive listening devices, safety zones, sonar power limit requirements, and
15 consideration of bathymetry and oceanographic conditions. These measures would minimize
16 any potential auditory effects to green turtles that may be found within the HRC operating areas.

17 These mitigation measures address Recovery section 2.2.7 and the Implementation Schedule
18 on p. 83.

19 **6.4.7 RECOVERY PLAN FOR U.S. PACIFIC POPULATIONS** 20 **OF THE HAWKSBILL TURTLE (*Eretmochelys*** 21 ***imbricata*)—(1998)**

22 No specific threats or applicable recovery actions were identified for the Navy with respect to
23 activities described in the HRC Draft EIS/OEIS.

24 Mitigation Measures—Although no specific threats or recovery actions were ascribed to Navy
25 activities within the HRC in the Recovery Plan the following measures further the recovery goals
26 of the Plan. In the event that hawksbill turtles are observed within the operating area the use of
27 tactical active sonars within the HRC would be governed by the mitigation measures outlined in
28 Section 6.1, which include the requirement for trained observers, aircraft surveillance when
29 available, the use of passive listening devices, safety zones, sonar power limit requirements,
30 and consideration of bathymetry and oceanographic conditions. These measures would
31 minimize any potential auditory effects to hawksbill turtles that may be found within the HRC
32 operating areas.

33 In addition, activities involving explosives or live fire will require trained observers aboard
34 weapons platforms, vessels associated with SINKEX, and vessels used for mine
35 countermeasures and demolition training and observers aboard aircraft when available. For
36 SINKEX and A-S MISSILEX, an exclusion zone of 1.0 nm and an additional safety zone of 0.5
37 nm would be required.

1 **6.4.8 RECOVERY PLAN FOR U.S. PACIFIC POPULATIONS**
2 **OF THE LOGGERHEAD TURTLE (*Caretta caretta*)—**
3 **(1998)**

4 There is no known nesting of loggerhead turtles in Hawaii according to the Recovery Plan.
5 Nearly all observations of loggerheads now come from incidental catch records associated with
6 pelagic longline fishing originating from Hawaiian ports. No specific threats or applicable
7 recovery actions were identified for the Navy with respect to activities described in this Draft
8 EIS/OEIS.

9 Mitigation Measures—Although no specific threats or recovery actions were ascribed to Navy
10 activities within the HRC in the Recovery Plan the following measures further the recovery goals
11 of the Plan. In the event that loggerhead turtles are observed within the operating area the use
12 of tactical active sonars within the HRC would be governed by the mitigation measures outlined
13 in Section 6.1, which include the requirement for trained observers, aircraft surveillance when
14 available, the use of passive listening devices, safety zones, sonar power limit requirements,
15 and consideration of bathymetry and oceanographic conditions. These measures would
16 minimize any potential auditory effects to loggerhead turtles that may be found within the HRC
17 operating areas.

18 In addition, activities involving explosives or live fire will require trained observers aboard
19 weapons platforms, vessels associated with SINKEX, and vessels used for mine
20 countermeasures and demolition training and observers aboard aircraft when available. For
21 SINKEX and A-S MISSILEX, an exclusion zone of 1.0 nm and an additional safety zone of 0.5
22 nm would be required.

23 **6.4.9 RECOVERY PLAN FOR U.S. PACIFIC POPULATIONS**
24 **OF THE OLIVE RIDLEY TURTLE (*Lepidochelys***
25 ***olivacea*)—(1998)**

26 No specific threats or applicable recovery actions were identified for the Navy with respect to
27 activities described in this Draft EIS/OEIS.

28 In the Hawaiian Islands, a single nesting was recorded along Paia Bay, Maui in September
29 1985; however, there was no successful hatching associated with this event (Balazs and Hau,
30 1986; National Ocean Service, 2001). Since there are no other known nesting records for the
31 central Pacific Ocean, the above nesting attempt should be considered an anomaly (National
32 Marine Fisheries Service and U.S. Fish and Wildlife Service, 1998e). Olive ridleys are
33 frequently captured by pelagic longline fishermen in deep, offshore waters of the HRC,
34 especially during spring and summer. Inside the 55-fathom isobath, olive ridley occurrence in
35 the HRC is rare year round.

36 Mitigation Measures—Although no specific threats or recovery actions were ascribed to Navy
37 activities within the HRC in the Recovery Plan the following measures further the recovery goals
38 of the Plan. In the event that olive ridley turtles are observed within the operating area the use of
39 tactical active sonars within the HRC would be governed by the mitigation measures outlined in
40 Chapter 6.1 which include the requirement for trained observers, aircraft surveillance when

1 available, the use of passive listening devices, safety zones, sonar power limit requirements,
2 and consideration of bathymetry and oceanographic conditions. These measures would
3 minimize any potential auditory effects to olive ridley turtles that may be found within the HRC
4 operating areas.

5 In addition, activities involving explosives or live fire will require trained observers aboard
6 weapons platforms, vessels associated with SINKEX, and vessels used for mine
7 countermeasures and demolition training and observers aboard aircraft when available. For
8 SINKEX and A-S MISSILEX, an exclusion zone of 1.0 nm and an additional safety zone of 0.5
9 nm would be required.

10 **6.4.10 RECOVERY PLAN FOR U.S. POPULATIONS OF THE** 11 **LEATHERBACK TURTLE (*Dermochelys coriacea*)—** 12 **(1998)**

13 No specific threats or applicable recovery actions were identified for the Navy with respect to
14 activities described in this Draft EIS/OEIS.

15 Satellite-tracking studies, a lack of Hawaiian stranding records, and occasional incidental
16 captures of the species in the Hawaii-based longline fishery indicate that deep, oceanic waters
17 are the most preferred habitats of leatherback turtles in the central Pacific Ocean. As a result,
18 the area of year-round primary occurrence for the leatherback turtle encompasses all HRC
19 waters beyond the 55-fathom isobath. Inshore of the 55-fathom isobath is the area of rare
20 leatherback occurrence. This area is also the same year round. Leatherbacks were not sighted
21 during any of the aerial surveys for which data were collected, all of which took place over
22 waters lying close to the Hawaiian shoreline.

23 Mitigation Measures—Although no specific threats or recovery actions were ascribed to Navy
24 activities within the HRC in the Recovery Plan the following measures further the recovery goals
25 of the Plan. In the event that leatherback turtles are observed within the operating area the use
26 of tactical active sonars within the HRC would be governed by the mitigation measures outlined
27 in Section 6.1, which include the requirement for trained observers, aircraft surveillance when
28 available, the use of passive listening devices, safety zones, sonar power limit requirements,
29 and consideration of bathymetry and oceanographic conditions. These measures would
30 minimize any potential auditory effects to leatherback turtles that may be found within the HRC
31 operating areas.

32 In addition, activities involving explosives or live fire will require trained observers aboard
33 weapons platforms, vessels associated with SINKEX, and vessels used for mine
34 countermeasures and demolition training and observers aboard aircraft when available. For
35 SINKEX and A-S MISSILEX, an exclusion zone of 1.0 nm and an additional safety zone of 0.5
36 nm would be required.

6.4.11 MARINE MAMMAL EXERCISE MONITORING PLAN

The Navy is developing a general monitoring plan that can be used for different exercises in a variety of areas. Depending on the type of exercise and the area where it is conducted, the operators can choose the appropriate monitoring elements from this plan.

The U.S. Navy is developing a monitoring program whose study design provides the power to estimate:

- a. The number of fin whales, humpback whales, sei whales, and sperm whales that are exposed to mid-frequency sonar at received levels equal to or greater than 173 dB and 190 dB during ASW Exercises;
- b. The behavioral or other observable responses of any of these whales that are exposed to mid-frequency sonar at these received levels;
- c. The effectiveness of the Navy's entire suite of mitigation measures at avoiding exposing any of these whales to mid-frequency sonar; and
- d. The effectiveness of the different measures contained in the Navy's suite of mitigation measures at avoiding exposing any of these whales to mid-frequency sonar.

This monitoring plan is being developed to meet the requirements of the Proposed Action's Biological Opinion and to supplement a long-term monitoring plan, which is also under development. It is understood that the monitoring plan will likely require further revision in an iterative process as the methodology is refined based on the data that is returned. The following are the specific elements that make up the monitoring plan:

U.S. Navy Lookout Watchstander Reports

The U.S. Navy will use its onboard lookout watchstanders as observers on Navy vessels conducting mid-frequency active sonar operations. This process is in accordance with the measures outlined in the DoD National Defense Exemption from Requirements of the Marine Mammal Protection Act for Certain DoD Military Readiness Activities That Employ Mid-Frequency Active Sonar or Improved Extended Echo Ranging Sonobuoy, 23 January 2007 (NDE), and the U.S. Navy mitigation measures as outlined in the Undersea Warfare Exercise (USWEX) and Composite Unit Training Exercise/Joint Task Force Exercise (COMPTUEX/JTFEX) environmental Assessments/Overseas Environmental Assessments.

The U.S. Navy believes that that due to their proximity to the sighting (i.e., on the mid-frequency active sonar ship), these reports represent the best source of sighting data when correlated to concurrent mid-frequency active sonar use. Furthermore, given the depth of individual training, supervision, and permanent presence during sonar operations, non-sonar events, and transits, the U.S. Navy believes that current lookout watchstander reporting represents the best available information source that directly answers questions as to marine mammal presence or absence on our ranges concurrent with naval ship presence, and potential response or non-response to mid-frequency active sonar. Up to five dedicated watchstanders, on duty 24/7 during an At Sea Exercise, report all marine mammal sightings observed regardless of whether sonar was operational. While species level of detail is still broad, certain species groups are easy to identify (dolphins, pinnipeds, whales). Standard Navy Operating Procedures as applied in an exercise specific Letter of Instruction to participants and serves as a governing factor in both exercise specific observation reporting, and mid-frequency active sonar mitigation.

1 Included would be a validation of the watchstander's ability to detect and accurately determine
2 the distance of marine mammals encountered during training exercises.

3 **Strategic Anti-Submarine Warfare (ASW) Aerial Sighting Reports**

4 Strategic ASW assets used during some USWEX, COMPTUEX, and JTFEXs include the P-3C
5 Orion Maritime Patrol Aircraft. These planes are often equipped with advanced optical sensors
6 and may occasionally report geo-referenced marine mammal sightings. As part of this
7 monitoring plan, these reports when made in context of an exercise will be collected and added
8 as additional sighting information to exercise After Action reports. While the U.S. Navy
9 acknowledges that species level detail and proximity to mid-frequency active sonar following
10 exposure may not be available, this information will serve as additional data points on marine
11 mammal occurrence within the operating area associated with ASW events.

12 **U.S. Navy Imagery Teams/Sensors Observations**

13 Most U.S. Navy ships have a collateral duty team whose task is to respond to sightings of high
14 valued targets and collect digital imagery for intelligence collection purposes. For this plan, the
15 Navy proposes to test the fly-away nature of these teams to respond to select marine mammal
16 sightings made specifically during mid-frequency active sonar use (i.e., large whales with
17 potential of ESA status, blue, fin, humpback, sei, and sperm whales. The goal would be to take
18 imagery of animals sighted within any of the mitigation zones (200, 500, or 1,000 yards) when
19 mid-frequency active sonar is being used or secured, and forward these to U.S. Navy or Navy
20 Contract or marine biologists for species identification, and eventual relations to initial
21 watchstander report.

22 Given a moving vessel, moving animals, and the response time need for these teams to deploy
23 imagery gear, it is unknown if imagery can be collected in a timely manner or will contain
24 sufficient level of detail to enable exact species identification. Review of imagery will be
25 conducted and reports on quality and success or failure in species identification.

26 **U.S. Navy Range Passive Acoustic Monitoring**

27 The U.S. Navy will use the underwater acoustic arrays at two facilities to collect marine mammal
28 vocalizations in support of the exercise monitoring plan.

29 Two Pacific Navy Ranges, the PMRF and SOAR, are equipped with instrumented underwater
30 hydrophone arrays allowing for acoustic data to be collected from large inshore and offshore
31 areas. Hydrophones vary in acoustic response so they can be used to capture both broad band
32 and high frequency recordings on the range. However, in order not to capture classified source
33 signatures, the U.S. Navy will only collect data before and after exercises for validation of
34 species present, and to note if there are statistically different changes to vocalization rates. For
35 species with known acoustic signatures (blue, fin, humpback, and sperm whales), analysis may
36 provide an index of abundance and records of animal movements. Data that are collected one
37 day before and one day after the sonar operations may provide important information not
38 apparent through other methods.

39 It should be noted, these underwater instrumented ranges represent only a small area of the
40 overall HRC and Southern California (SOCAL) ocean and exercise areas, so data collected can
41 only be associated with events specifically scheduled on these ranges.

1 Procedures for collecting acoustic data at the PMRF range are already in place with 2 days per
2 month of recordings being saved. These recording times will be aligned with pending USWEX
3 events at PMRF.

4 **Third Party Visual Observations from U.S. Navy Platforms During Exercises**

5 An independent observer will be deployed from either a mid-frequency active sonar or non-mid-
6 frequency active sonar platform on a very limited proof-of-concept experiment. The goal of this
7 monitoring element would be to augment Navy lookout reports during mid-frequency active
8 sonar operation with detailed species level identification, biological response observations, and
9 supplementary imagery taken by the attached biologists. If species level identification of ESA-
10 listed species can be made successfully during this event, it would add weight of evidence to
11 discussions about mid-frequency active sonar effects or lack of effects on ESA listed species.

12 Of all of the U.S. Navy's proposed monitoring elements, this one will be the most difficult to
13 consistently execute.

14 Berthing space on almost all mid-frequency active sonar ships, especially during USWEX,
15 COMPTUEX, or JTFEX is very limited, so embarking an observer is not currently proposed for
16 mid-frequency active sonar ships during Major Exercises. With exercise lengths of 1 to 3
17 weeks, and given limited at sea transfer, this option would mean that even if berthing is
18 available, a biologist would have to depart with the ship as it leaves port and stay the duration of
19 the exercise. At best, berthing on non-mid-frequency active sonar (i.e., carrier and amphibious
20 assault ships) may be possible, but distance from mid-frequency active sonar operations may
21 not provide the quality data desired.

22 **Third Party Visual Observations from U.S. Navy Platforms During Unit Level Training** 23 **Exercises**

24 There may be potential for adding civilian biologist or survey teams to a vessel engage in single
25 ship, unit-level training (DDG class ships, CG class ships) as a proof-of-concept demonstration.
26 An independent observer will be deployed from either a mid-frequency active sonar or non- mid-
27 frequency active sonar platform. The goal of this monitoring element would be to augment Navy
28 lookout reports during mid-frequency active sonar operation with detailed species level
29 identification, biological response observations, and supplementary imagery taken by the
30 attached biologists.

31 **Third Party Surface Vessel or Aerial Surveys Pre- and Post-Exercise**

32 If a sufficient geographic area for ASW events can be identified, another monitoring element to
33 augment this plan is to conduct third party surface vessel or aerial surveys either before or
34 immediately after the exercise.

35 Ship based surveys will conduct standard NMFS-protocol surveys of selected areas prior to an
36 exercise in order to assess likely species composition encountered by exercise participants
37 (Barlow et al., 2003). The survey could set a baseline standard of existing conditions, although
38 within the different range areas variations of marine mammal occurrence can be anticipated due
39 to subtle, small scale changes in oceanographic conditions and prey availability.

1 Aerial surveys will be conducted with a twin engine aircraft due to range, safety, and on-station
 2 time. Aircraft can cover a larger area more quickly than an observation vessel and could be
 3 used to search for potentially injured, dead, stranded animals on shore or in coastal shallow
 4 waters after an exercise.

5 **Methodology to Evaluate the Effectiveness of the Additional Program Elements Not** 6 **Included in the NDE**

7 The U.S. Navy will evaluate the effectiveness of its program elements to assess the reliability
 8 its observations and the data gathered using these program elements. The data will be
 9 evaluated for:

- 10 • Ease of implementation
 - 11 – Were operators able to implement these elements effectively?
 - 12 – What were the implementation impacts on the operators (e.g., are there any
 13 national security impacts)?
- 14 • Cost of implementation
 - 15 – Is the effectiveness of the element equivalent to the cost of obtaining the data
 16 (e.g., cost benefit analysis)?
- 17 • Quality of data obtained
 - 18 – Did the elements obtain identification of species and detect animals that were
 19 exposed?
 - 20 – Were techniques beyond ones required in the NDE able to detect additional
 21 marine mammal exposure beyond the methods used in the NDE?
 22

23 **6.4.11.1 ADDITIONAL MARINE MAMMAL RESEARCH SOURCES**

24 There are other potential marine mammal data providers in addition to the U.S. Navy that will
 25 be investigated for collaboration with this Exercise Marine Monitoring Plan. The goal is to
 26 leverage ongoing NMFS permitted studies, academic research and surveys, and new U.S.
 27 Navy detection technologies that may be of use as data augments to this plan.

28 **Regional and Academic Research Programs**

29 Within Hawaii and SOCAL, NMFS permitted marine mammal surveys, acoustic monitoring,
 30 and animal tagging is being conducted or planned for the next 2 years.

31 Tagging, for instance, is an important research tool for directly determining marine mammal
 32 movement, diving behavior, swim parameters (velocity, direction of travel, foraging depth), as
 33 well as potentially recording anthropogenic sound level exposure for an animal. Tagging
 34 typically allows for longer-term monitoring of individuals than visual and acoustic monitoring
 35 can provide.

36 In conjunction with other scientists and NMFS, the U.S. Navy will explore integrating tagging
 37 and additional survey results into the Exercise Monitoring Plan if data is available in areas
 38 associated with Navy operations.

1 **U.S. Navy Funded Research and Development Technologies**

2 New research and development technologies in marine mammal research may be considered in
3 the future (late fiscal year (FY) 07 and FY 08), but given the relatively recent nature of some
4 technology, it is unknown at this time what value-added data will be available to supplement
5 exercise specific monitoring reports. Information from research and development technologies
6 may, however, generate relevant biological information about marine mammal distribution and
7 by inference impacts, or lack of impacts, from mid-frequency sonar operations. Examples
8 include deployment of Autonomous Recording Packages to determine presence/absence of
9 vocalizing marine mammals, and application of various Office of Naval Research funded marine
10 mammal detection technologies if found to be mature enough for at-sea use (e.g. autonomous
11 underwater gliders, surface radar detection of marine mammals, etc.).

12 **6.4.12 LONG-TERM MARINE MAMMAL MONITORING PLAN**

13 The Navy is developing a long-term monitoring plan to determine behavioral and population
14 level changes to marine mammals within Navy ranges. This plan will continue or initiate studies
15 of abundance, distribution, habitat utilization, etc. for sensitive species of concern using visual
16 surveys, passive and acoustic monitoring, radar and data logging tags (satellite or radio linked
17 to record data on acoustics, diving and foraging behavior, and movements). The plan will
18 determine the geographic and temporal extent of key habitats and comprehensive baseline
19 information to account for natural perturbations such as El Niño events. The plan will use
20 observational data and baseline information to determine spatial and temporal extent of
21 reactions to Navy operations, or indirect effects from changes in prey availability and
22 distribution.

23 A suite of monitoring techniques has been used to mitigate and monitor the effects of
24 anthropogenic activities including underwater sounds on marine mammals throughout the world
25 (Barlow and Gisiner, 2006). Each type of monitoring technique has advantages and
26 disadvantages, from both a logistical and biological perspective. By using a combination of
27 several techniques, the detection, localizing, and observations of marine mammals can be
28 maximized. Given that the effectiveness of these monitoring techniques is largely unknown, the
29 components of the monitoring plan that are implemented will be chosen based on effectiveness,
30 practicality, impact to operations, and funding.

31 **Survey Modeling**

32 To determine if the effects of Navy activities on marine mammal populations can be detected
33 over an extended period (i.e., 5 years), the movements and surveys of different species groups
34 of large marine fauna within the region of interest were simulated. The simulations are
35 individual-based, and they modelled the movements of the animals at daily intervals. Because
36 cetacean response to acoustic trials is to a large degree unknown, simulations included several
37 levels of response which are considered extreme. If no effect could be detected under these
38 extreme scenarios then no effect would be detectable under less extreme scenarios. Modelling
39 will help determine the level of effort needed to detect long term population trends.

40 The simulations involved:

- 41 1. Simulating the populations of interest. This included simulating the distribution,
42 abundance and dynamics of the population and simulating the animals' response to
43 acoustic activity.

1 2. Simulating the observation process (data gathering by aerial or vessel based line
2 transect survey).

3 3. Analyzing the observed data.

4 **Vessel-based Surveys**

5 Vessel-based surveys are the primary method for marine species monitoring. Surveys may also
6 focus on a particular type of habitat or areas suspected to be used or regularly used by priority
7 species.

8 Standard line-transect surveys are adaptable to weather conditions, nature, and location of
9 monitoring area, presence/absence of priority species, etc. They generally follow established
10 standard line-transect protocols designed to obtain marine mammal density and distribution
11 data (Barlow, 2003).

12 During the surveys, the scientific team can collect general observations following scan sampling
13 protocol including general behavior (i.e., behavioral state), any conspicuous individual behaviors
14 (e.g., breach, tail slap, porpoising, etc.), orientation/direction of travel, estimated speed of
15 movement, etc. These data would be collected during surveys without breaking from course.
16 Thus, the accuracy and type of information collected will depend on the distance to the
17 observed animals and is limited by the brevity of the observation time while in transit.

18 During surveys of marine mammals, oceanographic data (e.g., sea surface temperature,
19 chlorophyll *a*, etc.) and prey abundance and distribution data would be collected to assess other
20 environmental factors that may affect marine mammal abundance and distribution.

21 **Observers**

22 A total of four visual observers will be aboard the vessel, three “on effort” at any given time.
23 One of the three on-effort observers shall also act as the recorder and primarily observe with
24 unaided eye. Each person will rotate through the observer and recorder positions and will
25 spend less than 2 hours on observation at a time. The two main observers will be located on
26 the port and starboard sides of the flying bridge or observation deck with the recorder between
27 them. During line transect surveys, each observer will be responsible for the area from dead
28 ahead through an arc of 90 degrees to the observer’s side and have an unobstructed view.
29 During focal observations, observations would be conducted 360° around the survey vessel.

30 **Aerial Surveys**

31 Aerial surveys can be used before and after exercises to monitor animal distribution,
32 occurrence, focal, and scan behavior/orientation relative to exercise and related activities, etc.
33 For safety reasons, aerial surveys may not be used in the exercise area during operations.

34 Aerial surveys would be conducted with a twin engine aircraft with good range otherwise it will
35 be limited by the distance out to exercise area and time it can remain on station. A larger
36 aircraft with greater range and safety (e.g., Twin Otter) could be used to conduct transect and
37 focal observations in far offshore areas such as Navigator Seamounts. Aircraft can cover a
38 larger area more quickly than an observation vessel.

1 Aircraft could be used to search for potentially injured, dead, stranded animals on shore and/or
2 coastal shallow waters during and after exercise. Any observations conducted from aircraft
3 during the exercise must be well outside the operations footprint.

4 Limitations of aircraft are: (1) the amount of time they can remain in the exercise area
5 compared to a ship, (2) they cannot be used for night-time observations, and (3) they are limited
6 by the potentially long distances to the exercise areas. As well, the survey speed results in
7 missing a large proportion of long-diving animals (e.g., beaked whales, sperm whales), and
8 species mis-identification is more of a problem due to the large distance between the observers
9 and the animals, as well as the speed of the survey platform.

10 **6.4.12.1 PHOTO IDENTIFICATION**

11 Photo identification (ID) is important to address longer-term aspects of the marine mammal
12 abundance in the Hawaii Operating Area (OPAREA). Photo ID can assess whether identified
13 animals remain and survive/persist in an OPAREA, reproduce, etc. With the exception of the
14 seasonal humpback, photo ID can be problematic with long-ranging species. However, recent
15 studies by McSweeney et al. (2007) indicate some long-term year-round residency patterns of
16 some beaked whales in the Hawaiian Islands, as well as pilot whales, false killer whales, pygmy
17 killer whales, etc. Comparison of photographs of the identifying marks on dorsal fins or tail
18 flukes, rake marks, scars etc will be use to assess residency or re-sighting of individual animals
19 (McSweeney et al., 2007).

20 **Towed Hydrophone Arrays**

21 Passive acoustic monitoring for cetaceans requires the ability to identify species as well as
22 differentiate and track individual animals. Current software systems may work for the
23 vocalizations of a limited number of species or to varying degrees of accuracy and
24 computational efficiency. A hydrophone array is towed from the boat and can detect and
25 localize marine mammals that vocalize. The ability of the array to detect marine mammals will
26 depend on the speed of the boat, length of the array and the frequency range of the
27 hydrophones. The array would need to detect vocalizations in the range of very low frequency
28 for baleen whales (< 1,000 Hz; McDonald and Fox, 1999; Mellinger and Clark, 2003) to
29 relatively high frequency for odontocetes such as sperm whales (up to 30 kHz; Watkins, 1980).
30 The use of two simultaneously deployed arrays can also allow more accurate localization and
31 determination of diving patterns.

32 **Use of Navy Instrumented Acoustic Range**

33 Two Pacific Navy Ranges, PMRF and SOAR, are equipped with instrumented underwater
34 hydrophone arrays allowing for acoustic data to be collected from large inshore and offshore
35 areas. Hydrophones vary in acoustic response so they can be used to capture both broad and
36 high frequency recordings on the range. However, in order not to capture classified source
37 signatures, the U.S. Navy will only collect data before and after exercises for validation of
38 species present, and to note if there are statistically different changes to vocalization rates. For
39 species with known acoustic signatures (blue, fin, humpback, and sperm whales), analysis may
40 provide an index of abundance and records of animal movements. Data that are collected one
41 day before and one day after the sonar operations may provide important information not
42 apparent through other methods.

1 It should be noted, these underwater instrumented ranges represent only a small area of the
2 overall HRC and SOCAL ocean and exercise areas, so data collected can only be associated
3 with events specifically scheduled on these ranges.

4 Procedures for collecting acoustic data at the PMRF range are already in place with 2 days per
5 month of recordings being saved.

6 Behavioral Monitoring

7 Knowledge of the factors determining behavioral responses of marine mammals to E&P sound
8 sources would provide important information for the risk assessment process for operations in
9 sensitive areas. Data could be used for example to assist with identifying sensitive species, and
10 planning mitigation strategies for critical habitat areas.

11 Changes in animal distribution, distances traveled, and foraging behavior in response to Navy
12 sound sources compared to habitat range and natural variability would provide data needed to
13 assess biological significance of animal avoidance/displacement reactions. Data could be used
14 to enhance the predictive capabilities of sound exposure models by defining animal movement
15 in response to sound sources. Animal movements could be monitored in specified geographical
16 regions of interest using visual observations of marine mammal observers, telemetry/tagging
17 methods, and fixed passive acoustic monitoring systems. Geographic and temporal extent of
18 behaviors to Navy operations (e.g. sonar, underwater detonations, shipping) could be
19 determined. In order to determine and quantify a significant response, it will also be necessary
20 to establish baseline and natural variation. Behavioral reaction to sound exposure, including
21 movements associated with key activities and habitat abandonment, could be measured.
22 Indirect impacts on prey distribution could include tracking the movements of fish, squid etc in
23 response to sound sources at different levels. Foraging success could be quantified. Impacts
24 on breeding including reproductive animals moving out of critical area, decreased vocalization
25 used in breeding behavior, dispersal of spawning events, stock recruitment or relative
26 reproduction in following years could be determined.

27 Tagging of Species

28 Tagging is an important tool for directly determining the movement and diving behavior of
29 cetaceans. Sensors can be used that detect swim velocity, direction of travel, foraging and
30 record the sound level to which the animal is exposed. From position and movement data
31 residency patterns and habitat use can be determined. In association with other techniques
32 (biopsies, passive acoustic monitoring, photo ID, mark recapture) information of population
33 structure can be determined.

34 Tagging typically allows for longer-term monitoring of individuals than visual and acoustic
35 monitoring. Longer-term monitoring is important for assessing survival, fitness, long-term
36 effects, resident populations, etc. (Photo ID also important for this aspect, to assess whether
37 identified animals stay and survive/persist in an exercise area). Several types of tags utilizing
38 satellite or very high frequency tracking, the ability to record sound and various sensors to
39 record the animals behavior or environmental parameters.

40 Tagging cetaceans for long periods of time (e.g., > months) is problematic due to attachment
41 issues. Long-duration (e.g., > 1 to 2 months) tags on small cetaceans can typically only be

1 deployed by capturing animals. Long-duration (e.g., > 1 to 2 months) tags on large cetaceans
2 can be deployed using sub-dermal tags, with the majority of the tag body below the surface of
3 the skin with only the antenna exposed, to reduce drag. Medium-duration tags (e.g., 1 to 8
4 weeks) that provide location-only data have been remotely deployed on mid-size cetaceans,
5 including Cuvier's beaked whales and Blainville's beaked whales (Baird et al., 2007). It is not
6 currently possible to deploy medium-term or long-term tags on mid-size cetaceans that provide
7 more than location-only data. Incorporating sensors that record acoustics or behavior (e.g., dive
8 depths) is not possible on medium-term or long-term tags due to the increase in size (and thus
9 drag), as well as transmission limitations of data to satellites. Acoustic recording time depth
10 recorders would be the preferred tag as these can record received sound levels the tagged
11 animal was exposed to as well as the animal's own vocalizations or those of conspecifics,
12 depth, time, location, orientation, etc. Tagging would be problematic with long-ranging species
13 that may migrate out of the Hawaiian Islands area, but recent studies indicate some long-term,
14 year-long residency patterns of some beaked whales in the Hawaiian islands, as well as pilot
15 whales, false killer whales, spinner dolphins, pygmy killer whales (McSweeney et al., 2007).

16 **6.5 KAUAI**

17 The following sections provide mitigation measures to minimize the potential for impacts to
18 onshore species.

19 **6.5.1 BIOLOGICAL RESOURCES**

20 In accordance with the mitigation measures adopted for PMRF's Enhanced Capability EIS (U.S.
21 Department of the Navy, 1998a), night lighting is shielded to the extent practical to minimize its
22 potential effect on night-flying birds (Newell's shearwater and petrels) and Hawaiian hoary bats.

23 Mitigation measures to minimize the potential for introductions of seed or other plant parts
24 (propagules) of exotic species include:

- 25 • Minimizing the amount of seed or propagules of non-native plant species introduced
26 to the islands through continued efforts to remove seed and soil from all vehicles
27 (including contractor vehicles) coming to the island by pressure washing on the
28 mainland, and stepped up efforts to ensure that imported construction materials such
29 as sand, gravel, aggregate, or road base material are weed free.
- 30 • Regular monitoring and treatment to detect and eliminate establishing exotic species,
31 focusing on areas where equipment and construction materials come ashore and
32 areas within which there is movement of equipment and personnel and soil
33 disturbance which favor the spread and establishment of invasive species (e.g.,
34 along roadsides, and disturbed areas).
- 35 • Effective measures to foster the reestablishment of native vegetation in areas where
36 non-native vegetation is present.
- 37 • Prohibiting living plant materials to be brought to the islands from the mainland (in
38 order to avoid introduction of inappropriate genetic strains of native plants or exotic
39 species, including weeds, insects and invertebrates)

40

1 **Pacific Missile Range Facility Enhanced Capability Biological Assessment**

2 The following recommendations were established in 1998 after an informal consultation with
3 NMFS on the enhanced capabilities of PMRF:

- 4 • If whales or monk seals are observed during prelaunch safety clearance activities,
5 the launch should be delayed until monk seals and whales are clear of the launch
6 safety zones.
- 7 • Surveys should be conducted of beach areas on PMRF/Main Base and on Niihau
8 for sea turtle nests prior to amphibious landings and other activities that may affect
9 sandy beaches. This will allow locational shifts in the landings to reduce the
10 potential for effects to Hawaiian monk seals and green turtles.
- 11 • There is little data on monk seal abundance and distribution at Niihau. PMRF
12 should work with the owners of Niihau Ranch to develop Hawaiian monk seal and
13 green turtle monitoring programs so that appropriate management measures can
14 be implemented by the owners and residents if necessary. Training on census
15 techniques and provision of data forms for participants could be provided by the
16 NMFS. Contingent on approval from the land owners, NMFS could also provide
17 analysis and interpretations of the census and observational data for the owners
18 and residents.
- 19 • Studies to investigate the behavioral and physiological responses of large whales
20 and listed sea turtles to high intensity sound of all frequencies should be sponsored
21 and/or funded by the Navy, possibly through the office of Naval Research. This will
22 provide better information on which to evaluate this and future projects.

23

24 **6.5.1.1 KAULA**

25 Pursuant to a previous Section 7 Consultation and Biological Opinion (National Oceanic and
26 Atmospheric Administration, 2007), the Navy agreed to mitigations that reduce or eliminate any
27 potential impacts to humpback whales. No live fire is used. Mitigations agreed to include
28 seasonal use during periods when humpback whales are not present, surveying the waters off
29 Kaula to ensure that no whales are present, and limiting the impact area to the southern tip of
30 the island. These mitigation measures are also used for other marine species including
31 Hawaiian monk seals and sea turtles.

32 **6.5.2 CULTURAL RESOURCES**

33 Mitigation measures to reduce and/or eliminate any potential adverse effects on known or
34 unidentified historic properties from ongoing and future missile operations have been developed
35 and are presented in the PMRF Integrated Cultural Resources Management Plan (International
36 Archaeological Resources Institute, Inc., 2005). These include:

- 37 • Avoiding operations and construction in areas where cultural resources are known to
38 exist
- 39 • Monitoring all ground-disturbing activities and construction in medium and high
40 sensitivity archaeological areas

- 1 • Briefing personnel working in culturally sensitive areas, including providing
2 information on Federal laws protecting cultural resources
- 3 • Spraying water on vegetation within the immediate area of the launch vehicle prior to
4 launch. In the event that vegetation ignites as a result of launches, fire suppression
5 personnel are instructed to use an open spray nozzle whenever possible to minimize
6 erosion damage (such as to sand dunes) and prevent destruction of cultural
7 resources.
- 8 • If extensive burning of dune vegetation occurs, conducting post-burn archaeological
9 surveys in consultation with the Hawaii State Historic Preservation Office and Navy
10 archaeologist
- 11 • Implementing data recovery/research and documentation program if cultural
12 resources are discovered as a result of normal training, operation, and base
13 operations activities.

14

15 **6.5.3 HEALTH AND SAFETY**

16 To protect people from injury from either nominal launches or accidents, two primary mitigation
17 measures are in place: flight termination and clearance of specified regions. Clearance areas
18 include the Ground Hazard Area for land areas, Ship Exclusion Zones for ocean areas, and
19 Restricted Airspace and Altitude Reservations for airspace. In addition, launch times and
20 trajectories are cleared with United States Space Command to prevent impacts upon satellites
21 (both manned and unmanned); this process is called Collision Avoidance. For some missions,
22 no flight termination system is needed. This occurs when the vehicle properties are such that all
23 potential debris from accidents is contained within the hazard area. Procedures include the use
24 of use of clearance zones, restricting landings to specific areas of the beach, publication of
25 training overlays that identify the landing routes and any restricted areas, and designating a
26 lookout to watch for other vessels.

27 **6.6 OAHU**

28 **Oahu Army Training Lands (Makua Military Reservation, Kahuku Training Area, 29 Dillingham Military Reservation)**

30 Many critically endangered plants with very low numbers remaining in the wild occur on Army
31 training lands. Large-scale ecosystem protection is mainly done by fencing and invasive plant
32 control in Management Units. Management includes extensive consultation with USFWS and
33 ongoing surveys to determine current status. Mitigation measures include:

- 34 1. Controlling threats
- 35 2. Improving conditions for recruitment
- 36 3. Propagation
- 37 4. Reintroduction
- 38 5. Development of Implementation Plans that outline required mitigations to offset
39 training risks and to stabilize the targeted plant and animal populations
- 40 6. Preparation and implementation of a Wildland Fire Management Plan.

- 1 Table 6.6-1 provides a list of training guidelines that are applicable to all Oahu Training Areas.

Table 6.6-1. Training Guidelines for Resource Protection—All Oahu Training Areas

APPLIES TO	
The following list of actions and limitations applies to all Oahu training areas. Additional limitations are imposed in the Sensitive Ecological and Cultural Resource Areas.	
AUTHORITY	
Enforcement of the following rules is under the authority of the Directorate of Plans, Training, Mobilization and Security, Range and Training Support Division.	
REQUIRED ACTIONS	
Access	Before entering a training area, troops must clean all vehicles, equipment, personal gear, shoes, and clothing.
Fire	All fires must be reported immediately. In case of fire, troops will stop training operations and begin fighting the fire. Troops will continue to fight the fire until released by the Fire Department.
Water	All aviation or other training area fuels or chemicals and other potentially toxic and polluting substances must be handled and stored to avoid spills and fires.
LIMITATIONS FOR SENSITIVE ECOLOGICAL AND CULTURAL RESOURCE AREAS	
Access	No troops may go beyond signs or fences marking the presence of rare or endangered plants and animals or archaeological sites.
Bivouacking	No bivouacking within 3,280 feet of posted signs marking the presence of rare or endangered native plants and animals or restoration projects. No training units larger than platoon size (more than 30 troops) may bivouac outside of reusable bivouac sites provided with portable or fixed latrines. No open fires. No burying or leaving trash. No food preparation. No refueling operations. No cutting, clearing, or disturbing of vegetation. This includes mosses, grasses, shrubs, bushes, and trees.
Maneuvers	No vehicle traffic off existing roads. No use of rocks from rock piles or walls for training purposes. No establishment or new vehicle tracks. No digging, including entrenchment and foxholes, except in areas specifically designated by Range Control. Dillingham Military Reservation and Kahuku Training Area: No pyrotechnic or incendiary training devices except during the wet season (October to April) OR outside areas designed to control fire. No new placement of barbed wire or concertina wire near signs marking the presence of sensitive ecological areas or fences. Dillingham Military Reservation and Kahuku Training Area: No use of live fire or tracer ammunition. No road, trail, or firebreak clearing without permission from Range Control. No grading or construction of buildings or other permanent structures without permission from Range Control.

- 2 Source: U.S. Department of the Navy, 2002a

3

1 **6.7 MAUI**

2 Measures applicable to hull-mounted surface and submarine active sonar operating in the Maui
3 offshore area would follow the mitigation measures presented in Section 6.1.

4 **6.8 HAWAII**

5 **6.8.1 POHAKULOA TRAINING AREA**

6 According to the *Rare Plants of Pohakuloa Training Area Hawaii* (Shaw, 1997), military
7 activities, other than fire, have little impact on the rare plants on the installation. Occasionally, a
8 rare plant might be crushed by foot or vehicle. Dust created by traffic could negatively impact a
9 rare species if it is growing near a road. Also, only about 4 percent of the installation outside of
10 the impact area had been disturbed by military activities. Most of the disturbance occurs in fixed
11 artillery firing points, bivouac sites, and firing ranges. Many of the rare species inhabit remote
12 areas of Pohakuloa Training Area with little or no chance of being disturbed by military training
13 activities. Reducing the risk of military impacts on the rare plants can be accomplished easily
14 by locating training activities away from areas with sensitive species, fencing to enclose
15 sensitive species for protection from ungulates, fire and fuel corridors, fire breaks, additional
16 surveys for threatened and endangered species, and continued sensitive plant propagation
17 efforts.

18 The following restrictions from the Pohakuloa Training Area External Standard Operating
19 Procedures are applicable to all training areas on the installation:

- 20 • All off-road driving is prohibited.
- 21 • All fenced areas are off-limits.
- 22 • All lava tubes and sinkholes are off-limits.
- 23 • Digging is only permitted in previously disturbed areas.